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DATE MAILED: 04/19/2010

## NOTICE OF ALLOWANCE AND FEE(S) DUE

28960 7590 04/19/2010 HAVERSTOCK & OWENS LLP 162 N WOLFE ROAD SUNNYVALE, CA 94086 EXAMINER
POWERS, WILLIAM S

ART UNIT PAPER NUMBER

24M

| APPLICATION NO.                                 | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |  |  |  |
|---|-------------|----------------------|---------------------|------------------|--|--|--|
| 10/648,630                                      | 08/25/2003  | Ernst B. Carter      | EXIT-00101          | 5318             |  |  |  |
| TITLE OF INVENTION: ENCRYPTING OPERATING SYSTEM |             |                      |                     |                  |  |  |  |

 APPLN. TYPE
 SMALL ENTITY
 ISSUE FEE DUE
 PUBLICATION FEE DUE
 PREV. PAID ISSUE FEE
 TOTAL FEE(8) DUE
 DATE DUE

 nonprovisional
 YES
 \$755
 \$300
 \$0
 \$1055
 07/19/2010

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and I/2 the ISSUE FIEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

#### PART B - FEE(S) TRANSMITTAL

# Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or Fax (571)-273-2885

| INSTRUCTIONS: This<br>appropriate. All further<br>indicated unless correcte<br>maintenance fee notificat   | form should be used to<br>correspondence including<br>d below or directed oth<br>ions.  | or transmitti<br>ig the Pateni<br>ierwise in B                      | ing the ISSU<br>t, advance or<br>lock 1, by (a                              | JE FEE and PUBLIC<br>rders and notification<br>a) specifying a new of  | of n  | ON FEE (if requir<br>naintenance fees wi<br>pondence address;  | red). E<br>ill be<br>and/or                   | locks 1 through 5 sh<br>nailed to the current<br>(b) indicating a sepa  | ould be completed where<br>correspondence address as<br>ate "FEE ADDRESS" for  |
|--|---|---|---|--|---|--|---|---|--|
| CURRENT CORRESPONDENCE ADDRESS (Note: Use Block I for any change of address)   |   |   |   |  | Note: A certificate of mailing can only be used for domestic mailings of the<br>Fee(s) Transmittal. This certificate cannot be used for any other accompanying<br>papers. Each additional paper, such as an assignment or formal drawing, must<br>have its own certificate of mailing or transmission.  |  |   |   |  |
| HAVERSTOC<br>162 N WOLFE I<br>SUNNYVALE,   |   |   |   |  | I ber   | Cert   | ificate                                       | of Mailing or Transı  |  |
|  |   |   |   |  |   |  |   |   | (Depositor's name)   |
|  |   |   |   |  |   |  |   |   | (Signature)  |
|  |   |   |   |  | $ldsymbol{le}}}}}}$ |  |   |   | (Date)   |
| APPLICATION NO.  | FILING DATE   |   |   | FIRST NAMED INVEN  | TOR   |  | ATTO  | RNEY DOCKET NO.   | CONFIRMATION NO.   |
| 10/648,630   | 08/25/2003  |   |   | Ernst B. Carter  |   | EXIT-00101   |   | EXIT-00101  | 5318   |
| TITLE OF INVENTION   | ENCRYPTING OPER   | ATING SYS   | TEM   |  |   |  |   |   |  |
| APPLN, TYPE  | SMALL ENTITY  | ISSUE F   | EE DUE  | PUBLICATION FEE I  | OUE   | PREV. PAID ISSUE   | FEE   | TOTAL FEE(S) DUE  | DATE DUE   |
| nonprovisional   | YES   | \$7   | 55  | \$300  |   | \$0  |   | \$1055  | 07/19/2010   |
| EXAM   | INER  | ART   | UNIT  | CLASS-SUBCLASS   | S   |  |   |   |  |
| POWERS, W  | POWERS, WILLIAM S   |   | 34  | 713-002000   |   | •  |   |   |  |
| "Fee Address" indi<br>PTO/SB/47; Rev 03-0<br>Number is required.  3. ASSIGNEE NAME A   | ondence address (or Cha<br>//122) attached.<br>cation (or "Fee Address<br>2 or more recent) attach<br>ND RESIDENCE DAT/<br>ess an assignee is ident<br>n in 37 CFR 3.11. Comp | nge of Corre  Indication I  ded. Use of a                           | spondence form Customer   | (1) the names of or agents OR, alte (2) the name of a registered attorney 2 registered patent listed, no name with the PATENT (print of the patent). | up to<br>rnativ<br>single<br>y or a<br>t attor<br>ill be<br>or typ<br>the pa  | e firm (having as a<br>agent) and the name<br>meys or agents. If n<br>printed.<br>ee)<br>atent. If an assigne<br>assignment. | memb<br>s of up<br>so nam                     | er a 2er a 2er is 3entified below, the de   | cument has been filed for  |
| Please check the appropri  | ate assignee category or  | categories (  | will not be pr  | inted on the patent):  | ۵   | Individual Co  | rporati                                       | on or other private gro   | up entity Government   |
| 4a. The following fee(s) a  Issue Fee Publication Fee (N Advance Order - #   | o small entity discount p   | permitted)  | _   | A check is enclosed.  Payment by cred The Director is by   | sed.<br>it care<br>ereby  | d. Form PTO-2038   | is atta                                       | equired fee(s), any def   |  |
|  | SMALL ENTITY state  | is. See 37 CI   |   |  |   |  |   | TTY status. See 37 CF   |  |
| NOTE: The Issue Fee and<br>interest as shown by the r  | I Publication Fee (if req<br>ecords of the United Sta   | uired) will no<br>tes Patent an                                     | ot be accepte<br>d Trademark  | d from anyone other t<br>Office.   | han th  | he applicant; a regis  | tered a                                       | ttorney or agent; or th   | e assignee or other party in   |
| Authorized Signature   |   |   |   |  |   | Date   |   |   |  |
| Typed or printed name  |   |   |   |  | Registration No   |  |   |   |  |
| This collection of inform<br>an application. Confident<br>submitting the completed<br>this form and/or suggesti<br>Box 1450, Alexandria, V<br>Alexandria, Virginia 223 | ation is required by 37 C<br>iality is governed by 35<br>application form to the<br>ons for reducing this but<br>irginia 22313-1450. DC<br>13-1450.                           | FR 1.311. T<br>U.S.C. 122<br>USPTO. Ti<br>rden, should<br>ONOT SENI | he information<br>and 37 CFR<br>me will vary<br>be sent to the<br>D FEES OR | on is required to obtain<br>1.14. This collection<br>depending upon the<br>e Chief Information C<br>COMPLETED FORM                                   | n or re<br>is esti<br>indiv<br>Office<br>IS TO  | etain a benefit by th<br>imated to take 12 m<br>idual case. Any cor<br>r, U.S. Patent and 1<br>D THIS ADDRESS.               | ne publ<br>ninutes<br>mment<br>Fraden<br>SENI | te which is to file (and<br>to complete, including<br>s on the amount of tin<br>ark Office, U.S. Depa<br>O TO: Commissioner f | by the USPTO to process)<br>g gathering, preparing, and<br>the you require to complete<br>rtment of Commerce, P.O.<br>or Patents, P.O. Box 1450. |

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## UNITED STATES PATENT AND TRADEMARK OFFICE

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| 10/648,630             | 08/25/2003      | Ernst B. Carter      | EXIT-00101          | 5318             |  |  |
| 28960                  | 7590 04/19/2010 |                      | EXAMINER            |                  |  |  |
| HAVERSTOCK & OWENS LLP |                 |                      | POWERS, WILLIAM S   |                  |  |  |
| 162 N WOLFE R          |                 | ART UNIT             | PAPER NUMBER        |                  |  |  |
| SUNNYVALE, C           | 'A 94086        |                      | 2434                |                  |  |  |

## Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 492 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 492 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

#### Application No. Applicant(s) 10/648.630 CARTER ET AL. Notice of Allowability Examiner Art Unit WILLIAM'S POWERS 2434 -- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS. This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308. This communication is responsive to After Final Amendment of 3/24/2010. The allowed claim(s) is/are 1-13,17-45,47-52 and 59-75. 3. Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) $\square$ All b) ☐ Some\* c) ☐ None of the: 1. T Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No. 3. Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)). \* Certified copies not received: \_\_\_\_\_. Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application. THIS THREE-MONTH PERIOD IS NOT EXTENDABLE. 4. A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient. CORRECTED DRAWINGS (as "replacement sheets") must be submitted. (a) Including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached 1) hereto or 2) to Paper No./Mail Date (b) including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d). 6. DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL. Attachment(s) 1. | Notice of References Cited (PTO-892) 5. Notice of Informal Patent Application 2. Notice of Draftperson's Patent Drawing Review (PTO-948) Interview Summary (PTO-413), Paper No./Mail Date Information Disclosure Statements (PTO/SB/08). 7. T Examiner's Amendment/Comment Paper No./Mail Date 4. ☐ Examiner's Comment Regarding Requirement for Deposit 8. X Examiner's Statement of Reasons for Allowance of Biological Material 9. ☐ Other . /W. S. P./

Examiner, Art Unit 2434

Application/Control Number: 10/648,630 Page 2

Art Unit: 2434

## DETAILED ACTION

## Response to Arguments

 Applicant's arguments, see Remarks, filed 3/24/2010, with respect to claims 1-13, 17-25, 61, 62 and 72 have been fully considered and are persuasive. The rejection of claims 1-13, 17-25, 61, 62 and 72 has been withdrawn.

# Response to Amendment

- Claims 14-16, 46 and 53-58 have been cancelled.
- Claims 1 and 17 have been amended.
- Claims 1-13, 17-45, 47-52 and 59-75 are pending.

# Allowable Subject Matter

 Claims 1-13, 17-45, 47-52 and 59-75 allowed over the prior art for the reasons put forth in the Advisory Action of 2/18/2010 and Applicant's Remarks of 12/8/2009 and 3/24/2010. Art Unit: 2434

#### Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to WILLIAM S. POWERS whose telephone number is (571)272-8573. The examiner can normally be reached on m-f 8:00-5:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Kambiz Zand can be reached on 571 272 3811. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/W. S. P./

Examiner, Art Unit 2434

William S. Powers Examiner Art Unit 2434

4/5/2010

/Kambiz Zand/

Supervisory Patent Examiner, Art Unit 2434